

*$\alpha\delta$ -invariant Lévy processes on free quantum groups  
and their Markov semigroups*

Uwe Franz (Université de Franche-Comté)

June 4-10, 2012, 武汉大学

joint work with:

Anna Kula (Jagiellonian University Kraków)

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# Goal

- Identify **nice**<sup>1</sup> quantum Markov semigroups (i.e. semigroups of UCP maps) on  $C^*$ -algebras of compact quantum groups.
- Study their “potential theory”, i.e. construct their Dirichlet forms, derivations, Dirac operators, etc., and study their spectral properties.

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<sup>1</sup>analogues of **Browian motion** and the **heat semigroup**

- The free orthogonal quantum group
- translation invariant Markov semigroups
- $\alpha\partial$ -invariance
- Example: a Lévy-Khinchine-type formula for  $\alpha\partial$ -invariant convolution semigroups on  $O_n^+$

# The orthogonal group $O_n$

## Theorem

The  $C^*$ -algebra  $C(O_n)$  of continuous functions on the orthogonal group  $O_n$  is the universal **commutative**  $C^*$ -algebra generated by

$$x_{jk} \quad 1 \leq j, k \leq n$$

with the relations

$$x_{jk}^* = x_{jk}$$
$$\sum_{\ell=1}^n x_{j\ell} x_{k\ell} = \delta_{jk} = \sum_{\ell=1}^n x_{\ell j} x_{\ell k}$$

# The free orthogonal quantum group $O_n^+$

## Definition (Wang)

The (universal or full)  $C^*$ -algebra  $C_u(O_n^+)$  (also denoted  $A_o(I_n)$  or  $A_o(n)$ ) of “continuous functions” on the **free orthogonal quantum group**  $O_n^+$  is defined as the universal  $C^*$ -algebra generated by

$$x_{jk} \quad 1 \leq j, k \leq n$$

with the relations

$$x_{jk}^* = x_{jk} \\ \sum_{\ell=1}^n x_{j\ell} x_{k\ell} = \delta_{jk} = \sum_{\ell=1}^n x_{\ell j} x_{\ell k}$$

# Compact Quantum Groups: definition

## Definition (Woronowicz)

A **compact quantum group** is a pair  $\mathbb{G} = (A, \Delta)$ , where  $A$  is a unital  $C^*$ -algebra,  $\Delta : A \rightarrow A \otimes A$  is a unital,  $*$ -homomorphism which is coassociative (i.e.  $(\Delta \otimes \text{id}_A) \circ \Delta = (\text{id}_A \otimes \Delta) \circ \Delta$ ) such that the quantum cancellation rules are satisfied

$$\overline{\text{Lin}}((1 \otimes A)\Delta(A)) = \overline{\text{Lin}}((A \otimes 1)\Delta(A)) = A \otimes A.$$

$A$  is called the algebra of “continuous functions” on  $\mathbb{G}$  and denoted by  $C(\mathbb{G})$ .

# $O_n^+$ is a compact quantum group

## Remark

There exists a unique unital  $*$ -algebra homomorphism  $\Delta : C_u(O_n^+) \rightarrow C_u(O_n^+) \otimes C_u(O_n^+)$  with

$$\Delta(x_{jk}) = \sum_{\ell=1}^n x_{j\ell} \otimes x_{\ell k}.$$

$O_n^+ = (C_u(O_n^+), \Delta)$  is a compact quantum group.



# The Haar state

For  $a \in A = C(\mathbb{G})$  and  $\xi, \xi' \in A^*$  we can define a **convolution**

$$\xi \star \xi'(a) = (\xi \otimes \xi')\Delta(a)$$

$$\xi \star a = (\text{id} \otimes \xi)\Delta(a)$$

$$a \star \xi = (\xi \otimes \text{id})\Delta(a)$$

## *Theorem (Woronowicz)*

Let  $(A, \Delta)$  be a compact quantum group. There exists unique state (called the **Haar state**)  $h$  on  $A$  such that

$$a \star h = h \star a = h(a)1, \quad a \in A.$$

In general,  $h$  is not a trace.

# Peter-Weyl-Woronowicz theory

- An  $n$ -dimensional unitary corepresentation of  $\mathbb{G}$  is a unitary  $U = (u_{jk})_{1 \leq j, k \leq n} \in M_n(A)$  such that

$$\Delta(u_{jk}) = \sum_{\ell=1}^n u_{j\ell} \otimes u_{\ell k}.$$

- Let  $(U^{(s)})_{s \in \mathcal{I}}$  be a complete family of mutually inequivalent irreducible unitary corepresentations of  $\mathbb{G}$ . The **algebra of the “polynomials” (or “smooth functions”) on  $\mathbb{G}$**  is defined as

$$\text{Pol}(\mathbb{G}) = \text{Lin}\{u_{jk}^{(s)}; s \in \mathcal{I}, 1 \leq j, k \leq n_s\},$$

where  $n_s$  is the dimension of  $u^{(s)}$ .

- $\text{Pol}(\mathbb{G})$  is a dense  $*$ -subalgebra of  $C(\mathbb{G})$ , which is a Hopf  $*$ -algebra with

$$\varepsilon(u_{jk}^{(s)}) = \delta_{jk} \quad \text{and} \quad S(u_{jk}^{(s)}) = (u_{kj}^{(s)})^*.$$

## Two more algebras of “functions” on $O_n^+$

The reduced  $C^*$ -algebra  $C_r(O_n^+)$  of “cont. functions” on  $O_n^+$

For  $n \geq 3$  the Haar state of  $O_n^+$  is not faithful on  $C_u(O_n^+)$ . One defines the **reduced  $C^*$ -algebra  $C_r(O_n^+)$  of “cont. functions” on  $O_n^+$**  as the image of the GNS representation of  $C_u(O_n^+)$  w.r.t.  $h$ .

$\Rightarrow$  By construction  $h$  is faithful on  $C_r(O_n^+)$ .

The  $*$ -Hopf algebra  $\text{Pol}(O_n^+)$  of “polynomials” on  $O_n^+$

$\text{Pol}(O_n^+)$  is the  $*$ -subalgebra of  $C_u(O_n^+)$  or  $C_r(O_n^+)$  generated by  $x_{jk}$ ,  $1 \leq j, k \leq n$ .

$O_n^+$  is of **Kac type**, i.e. the Haar state  $h$  is a trace and  $S^2 = \text{id}$ .

## Theorem

Let  $(\varphi_t)_{t \geq 0}$  be a continuous convolution semigroup of states on  $\text{Pol}(\mathbb{G})$ , i.e.

$$\begin{aligned} \forall s, t \geq 0, \quad \varphi_s \star \varphi_t &= \varphi_{s+t}, \\ \forall a \in \text{Pol}(\mathbb{G}), \quad \lim_{t \searrow 0} \varphi_t(a) &= \varphi_0(a) = \varepsilon(a). \end{aligned}$$

Then the semigroup  $(T_t)_{t \geq 0}$ ,

$$T_t = (\text{id} \otimes \varphi_t) \circ \Delta : \text{Pol}(\mathbb{G}) \rightarrow \text{Pol}(\mathbb{G})$$

extends continuously to  $C_u(\mathbb{G})$  and  $C_r(\mathbb{G})$ .

The  $T_t$  are **translation invariant** in the sense that

$$\Delta \circ T_t = (\text{id} \otimes T_t) \circ \Delta.$$

## Theorem

Let  $\mathbb{G} = (A, \Delta)$  be a compact quantum group and  $(T_t)_{t \geq 0}$  a Markov semigroup on  $A = C(\mathbb{G})$ .

Then  $(T_t|_{\text{Pol}(\mathbb{G})})_{t \geq 0}$  is of the form

$$T_t|_{\text{Pol}(\mathbb{G})} = (\text{id} \otimes \varphi_t) \circ \Delta$$

if and only if  $T_t$  is translation invariant for all  $t \geq 0$ .

## Corollary

One-to-one correspondence between translation invariant Markov semigroups on  $C_r(\mathbb{G})$  (or  $C_u(\mathbb{G})$ ) and **Lévy processes** (in the sense of Schürmann) on  $\text{Pol}(\mathbb{G})$ .

## Definition

The **adjoint action** of a Hopf algebra  $\mathcal{A}$  is defined by  $\text{ad} : \mathcal{A} \rightarrow \mathcal{A} \otimes \mathcal{A}$ ,

$$\alpha\partial(a) = a_{(1)}S(a_{(3)}) \otimes a_{(2)}, \quad a \in \mathcal{A}.$$

where  $\Delta^{(2)}(a) = a_{(1)} \otimes a_{(2)} \otimes a_{(3)}$  (Sweedler notation).

## Definition

A linear map  $T \in \text{Lin}(\mathcal{A})$  is called  **$\alpha\partial$ -invariant**, if

$$(\text{id} \otimes T) \circ \alpha\partial = \alpha\partial \circ T.$$

A linear functional  $L \in \mathcal{A}'$  is called  **$\alpha\partial$ -invariant**, if

$$(\text{id} \otimes L) \circ \alpha\partial = L\mathbf{1}_{\mathcal{A}}.$$

## Remarks

- The counit  $\varepsilon$  and the Haar state  $h$  are  $\alpha\mathfrak{d}$ -invariant.
- For  $L \in \mathcal{A}'$ ,  $T_L$  is  $\alpha\mathfrak{d}$ -invariant if and only if  $L$  is  $\alpha\mathfrak{d}$ -invariant.
- If  $L, L' \in \mathcal{A}'$  are  $\alpha\mathfrak{d}$ -invariant then  $L \star L'$  is  $\alpha\mathfrak{d}$ -invariant.

## Proposition

Let  $(\varphi_t)_{t \geq 0}$  be a convolution semigroup of unital functionals on  $\mathcal{A}$ . The following are equivalent.

- The operators  $T_t = (\text{id} \otimes \varphi_t) \circ \Delta$  are  $\alpha\mathfrak{d}$ -invariant;
- The functionals  $\varphi_t$  are  $\alpha\mathfrak{d}$ -invariant;
- The functional

$$L = \left. \frac{d}{dt} \right|_{t=0} \varphi_t$$

is  $\alpha\mathfrak{d}$ -invariant.

Let  $\mathcal{A} = \text{Pol}(\mathbb{G})$  now be the  $*$ -Hopf algebra of “polynomials” on a compact quantum group.

## *Proposition*

A functional  $L$  is  $\alpha\mathfrak{d}$ -invariant if and only if it is of the form  $L(u_{jk}^{(s)}) = c_s \delta_{jk}$  with some coefficients  $c_s \in \mathbb{C}$ .

Denote by

$$\mathcal{A}_0 = \text{Lin} \left\{ \chi_s = \sum_{j=1}^{n_s} u_{jj}^{(s)}; s \in \mathcal{I} \right\}$$

the **algebra of “central polynomial functions”** on  $\mathbb{G}$ .



*Proposition*

If  $\mathbb{G}$  is of Kac type, then  $\text{ad}_h : \mathcal{A} \rightarrow \mathcal{A}$  defined by

$$\text{ad}_h(a) = (h \otimes \text{id}) \circ \text{ad}(a) = h(a_{(1)}S(a_{(3)}))a_{(2)}$$

satisfies

$$\text{ad}_h(a^*a) = (h \otimes \text{id}) \left( (\text{ad}(a))^* \text{ad}(a) \right)$$

for  $a \in \mathcal{A}$  and therefore preserves positivity.

Furthermore, we have  $\text{ad}_h(\mathcal{A}) = \mathcal{A}_0$ ,  $\text{ad}_h \circ \text{ad}_h = \text{ad}_h$ ,  $\text{ad}_h(1) = 1$ ,  $h \circ \text{ad}_h = h$ , i.e.  $\text{ad}_h$  is a conditional expectation onto  $\mathcal{A}_0$ .

*Now we know what we have to do!*

### *Corollary*

If  $\mathbb{G}$  is of Kac type, then

$$\mathrm{ad}_h^* : \mathcal{A}'_0 \ni \phi \mapsto \phi \circ \mathrm{ad}_h \in \mathcal{A}'$$

defines bijections between states on  $\mathcal{A}_0$  and  $\alpha\mathfrak{D}$ -invariant states on  $\mathcal{A}$ , and between generating functionals on  $\mathcal{A}_0$  and ad-invariant  $\alpha\mathfrak{D}$ -invariant generating functionals on  $\mathcal{A}$ .

### *Conclusion*

In order to classify translation invariant  $\alpha\mathfrak{D}$ -invariant generating functionals on a compact quantum group  $\mathbb{G}$  of Kac type, it is sufficient to classify the generating functionals on its algebra  $\mathcal{A}_0(\mathbb{G})$  of central polynomial functions.

## Example: The free orthogonal quantum group $O_n^+$

For  $O_n^+$ , we have

$$\mathcal{A}_0(O_n^+) \cong \text{Pol}([-n, n]).$$

and  $\varepsilon(f) = f(n)$  for  $f \in \mathcal{A}_0(O_n^+) \cong \text{Pol}([-n, n])$ .

The generating functionals on  $\mathcal{A}_0(O_n^+) \cong \text{Pol}([-n, n])$  are of the form

$$Lf = -af'(n) + \int_{-n}^n \frac{f(x) - f(n)}{n-x} d\nu(x)$$

where  $b > 0$  is a real number and  $\nu$  a finite measure on  $[-n, n]$ .

### Remark

The same method works for all compact quantum groups of Kac type (with commutative fusion rules), e.g., for the free permutation quantum group  $S_n^+$  we have an analogous formula.

*Thank you!*

谢谢

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